**CONTRACTS**
Clinical trials at UConn Health cannot commence until a contract has been fully negotiated, approved and executed and the clinical trial has received final IRB approval.

**TYPES:**
- CLINICAL TRIAL AGREEMENTS (CTA)
- CONFIDENTIALITY DISCLOSURE AGREEMENTS (CDA)
- CONTRACT AMENDMENTS
- LETTERS OF INDEMNIFICATION (LOI)

**OCTR DOES NOT NEGOTIATE:**
- FEDERALLY FUNDED INVESTIGATOR INITIATED AGREEMENTS*
- CLINICAL TRIALS OR CLINICAL RESEARCH IN WHICH THE PRIME AWARD IS IN RESPONSE TO A PUBLIC SOLICITATION

*In this instance, the proposals will be negotiated by the staff in the Office of Research and Sponsored Programs (ORSP)

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**ROUTING**
All grants and contracts require routing. Upon completion of the Budget Workbook, the PI approves the budget, then routing can be submitted.

**IF YOUR STUDY HAS A BUDGET WORKBOOK, YOU MUST USE THE OCTR ROUTING FORM:**

**CLINICAL TRIALS***
- NON-FEDERAL INVESTIGATOR INITIATED INDUSTRY SUPPORTED
- INDUSTRY SPONSORED
- UNIVERSITY TO UNIVERSITY
- CO-OPERATIVE GROUP
- FOUNDATION SUPPORTED

*Use the OCTR Routing Form (Pages 2 & 3)

**CLINICAL TRIALS**
- WITHIN A FEDERAL GRANT
- PRIME AWARD THAT IS IN RESPONSE TO A PUBLIC SOLICITATION

****Use the OCTR Routing Form (Pages 2 & 3 and the 1st page (only) of the ORSP Routing Form (Page 5)

All Forms can be obtained in the Forms Section of the website

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**CLINICAL TRIALS BANNER ACCOUNTS**
Newly approved clinical trials will be assigned a fund number on the university’s Banner accounting system. This account will be set-up and administered in OCTR in order to track study related financial activity. New studies will also be assigned a BEAN number, if necessary. The BEAN number identifies the study and corresponding Banner fund on the IDX billing system.

- BANNER FUND SET-UP
- ESTABLISH A BEAN NUMBER
- INITIAL BUDGET AND SUPPLEMENTS
- IPAS OR NO COST EXTENSION
- SPONSOR PAYMENTS
- STUDY RELATED EXPENSES

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**BUDGET WORKBOOK**
A budget workbook must be done by OCTR for all research studies that produce Institutional charges before submission to the IRB for review. It allows the PI to realistically assess the cost of doing clinical trials, it separates Routine Care Costs (RC) from Protocol Induced Costs (PIC) ensuring PI and institutional compliance with state and federal regulations.

**SCHOOL OF MEDICINE AND SCHOOL OF DENTAL MEDICINE**

**DOCUMENTS NEEDED:**
- STUDY PROTOCOL, INCLUDING SCHEMA OF PATIENT EVENTS
- STUDY BUDGET, AS PROPOSED BY SPONSOR
- INFORMED CONSENT FORM OR SPONSOR TEMPLATE
- COMPLETED OCTR PRELIMINARY BUDGET INFORMATION PACKET*

*Can be obtained in the Forms Section of the website

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**BUDGET INITIATION MEETINGS**
For all studies which require a Budget Workbook, a budget initiation meeting is scheduled when final IRB approval is issued. The meeting should occur prior to the enrollment of the first study participant, but may occur due to scheduling conflicts within two weeks of the opening of the study. It is strongly recommended, that the study coordinator, department administrator and/or billing coordinator, and the PI attend the budget initiation meeting.

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**CASE NUMBERS AND PARTICIPANT ACCRUAL**
After a BEAN number is established, the study coordinator can enroll a patient and obtain a unique patient case number, booking research to the case. Visits booked through the IDX system as research will generate research labels at each visit. If RC services are done at the same visit, the subject must be registered twice.

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