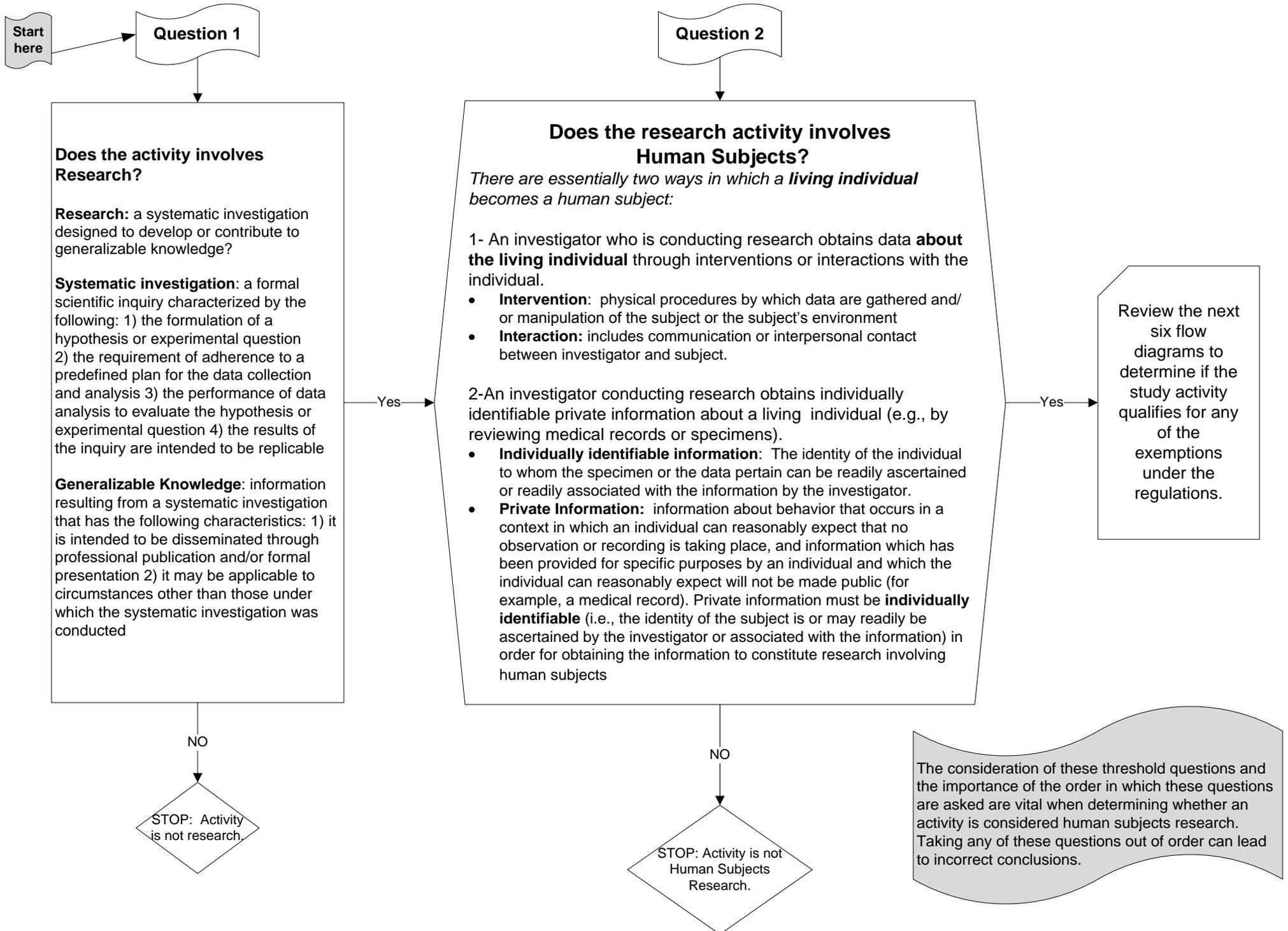
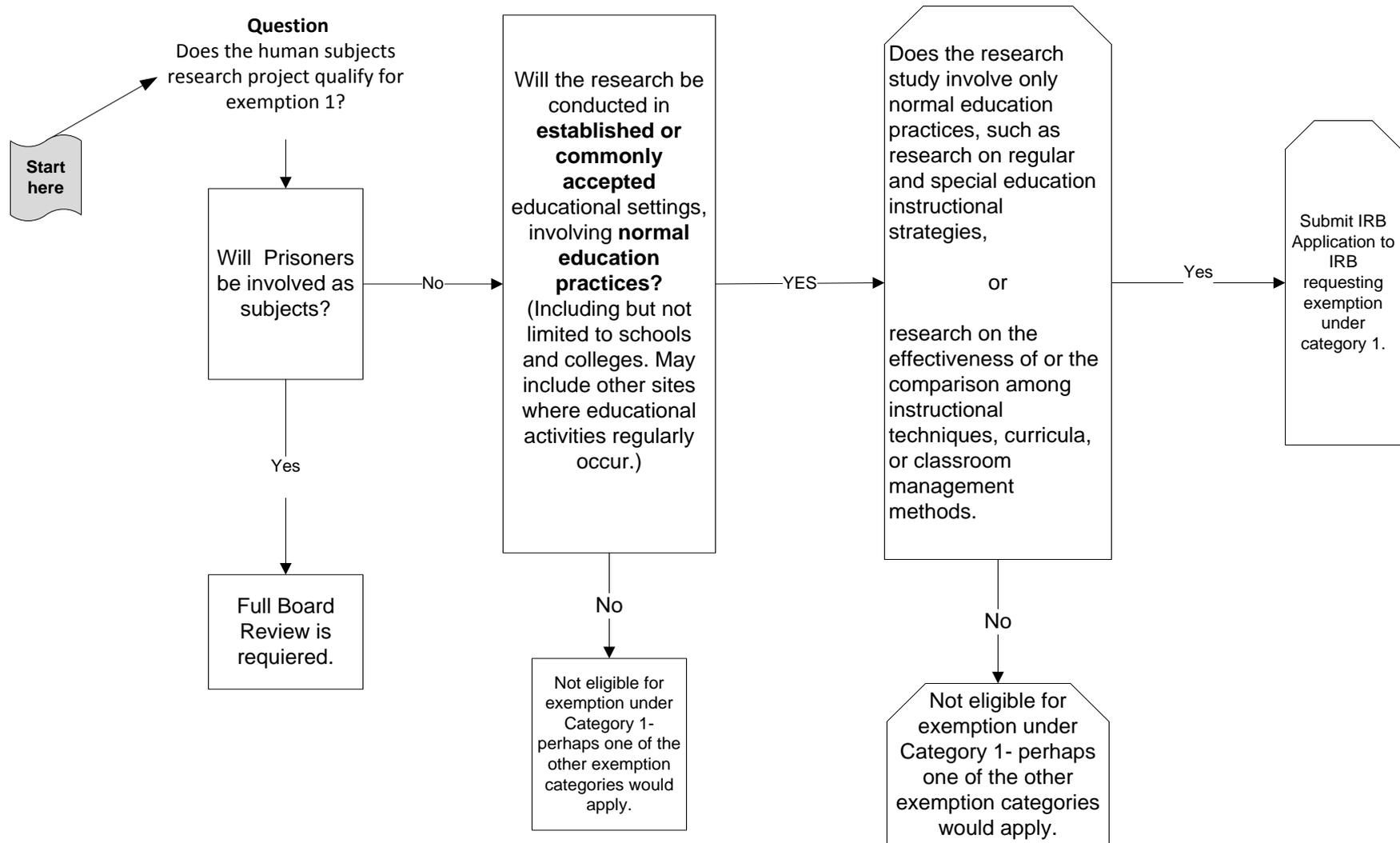


Determination of Human Subjects Research Chart



Determination of Exemption Category 1: Research Conducted in Educational Settings

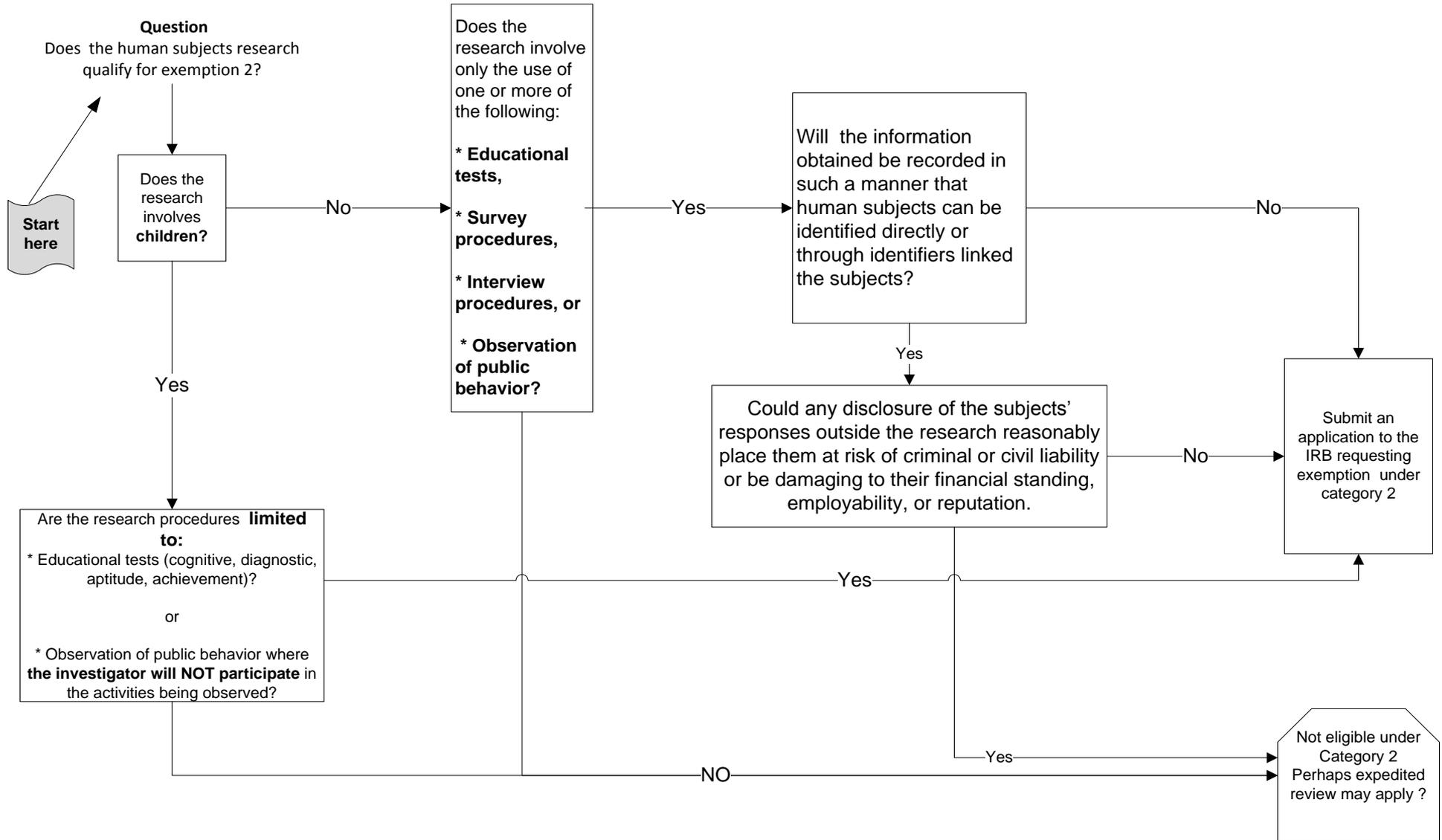
(Prior to using this chart you should already have determined that your project does constitute human subject research)



Exemption Category 1: Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (i) research on regular and special education instructional strategies, or (ii) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.

Determination of Exemption Category 2 – Tests, Surveys, Interviews, Observation of Public Behavior

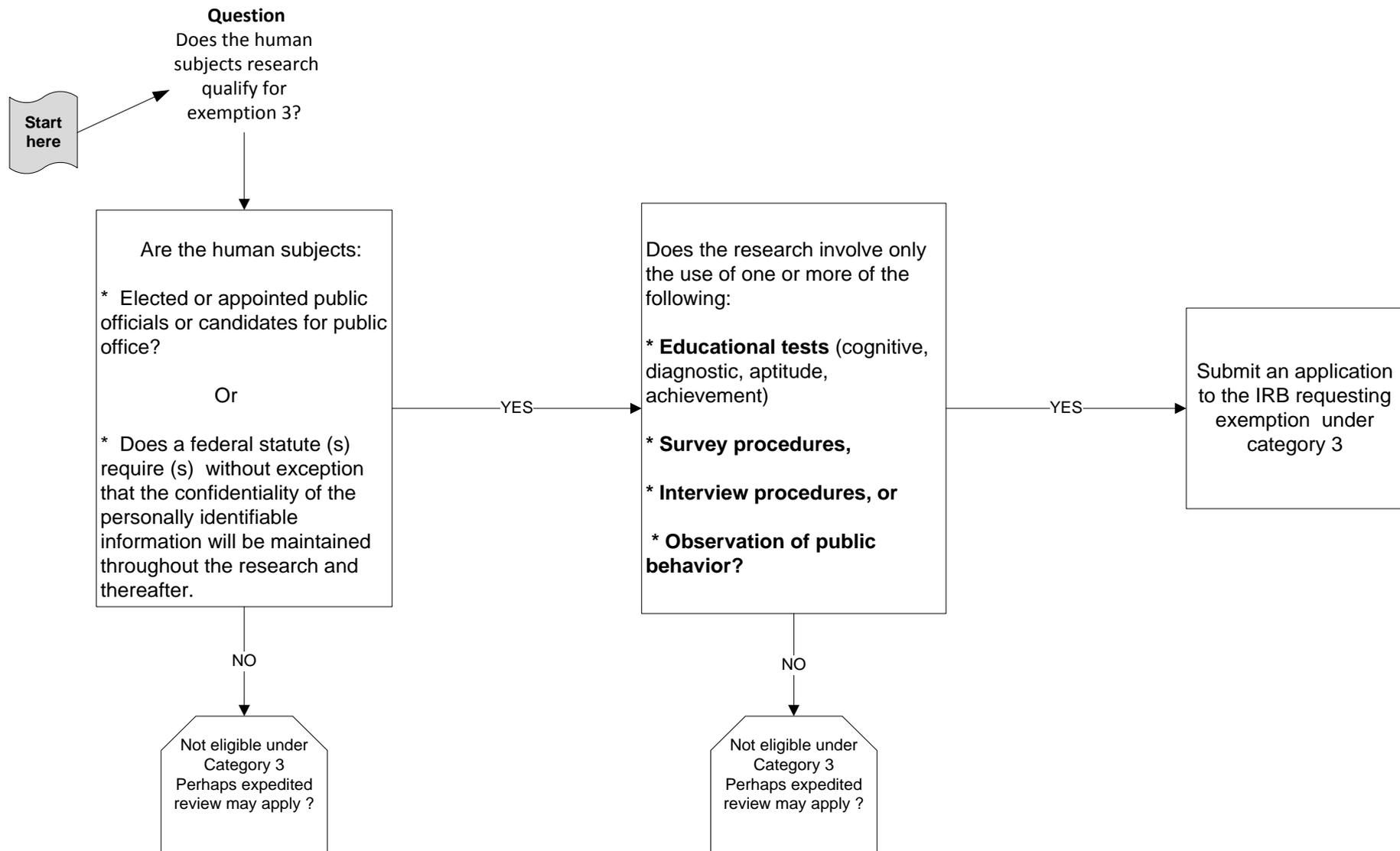
(Prior to using this chart you should already have determined that your project does constitute human subject research)



Exemption Category 2: Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observations of public behavior **unless:** (i) information obtained is recorded in such a manner that human subjects can be identified directly or through identifiers linked to the subjects; and (ii) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or loss of insurability, or be damaging to the subjects' financial standing, employability, or reputation. (Under Subpart D, for research involving children, this exemption, (45 CFR 46.101(b)(2)), applies regarding educational tests. However for research involving survey or interview procedures or observations of public behavior this exemption does not apply to research covered by Subpart D, except for research involving observation of public behavior when the investigator(s) do not participate in the activities being observed)

Determination of Exemption Category 3 – Tests, Surveys, Interviews, Observation of Public Behavior

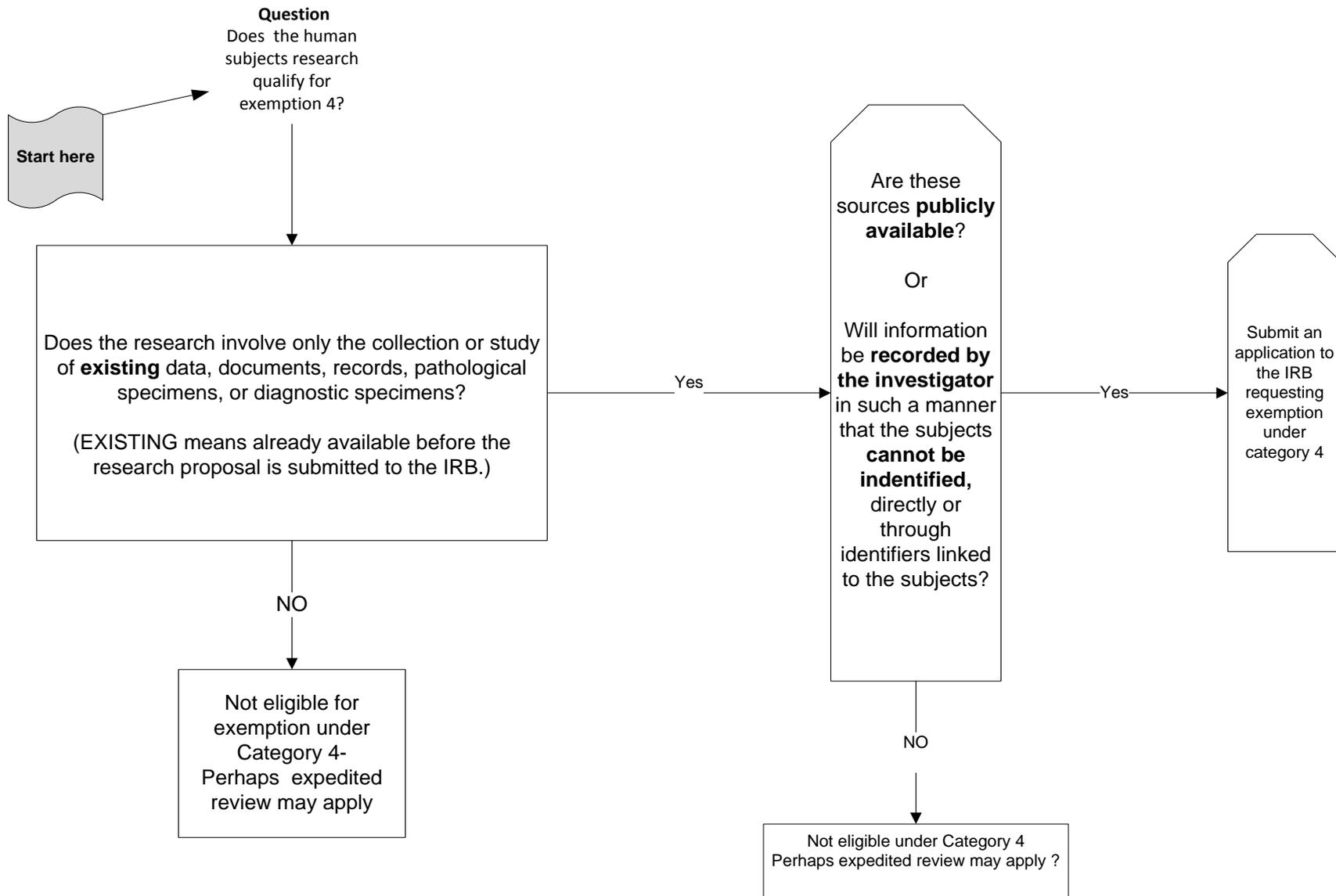
(Prior to using this chart you should already have determined that your project does constitute human subject research)



Exemption Category 3: Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement) survey procedures, interview procedures, or observation of public behavior that is not exempt under paragraph (2) if: (i) The human subjects are elected or appointed public officials or candidates for public office; or (ii) federal statutes(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.

Determination of Exemption Category 4 – Study of Existing Data , Documents, Records, Specimens

(Prior to using this chart you should already have determined that your project does constitute human subject research)

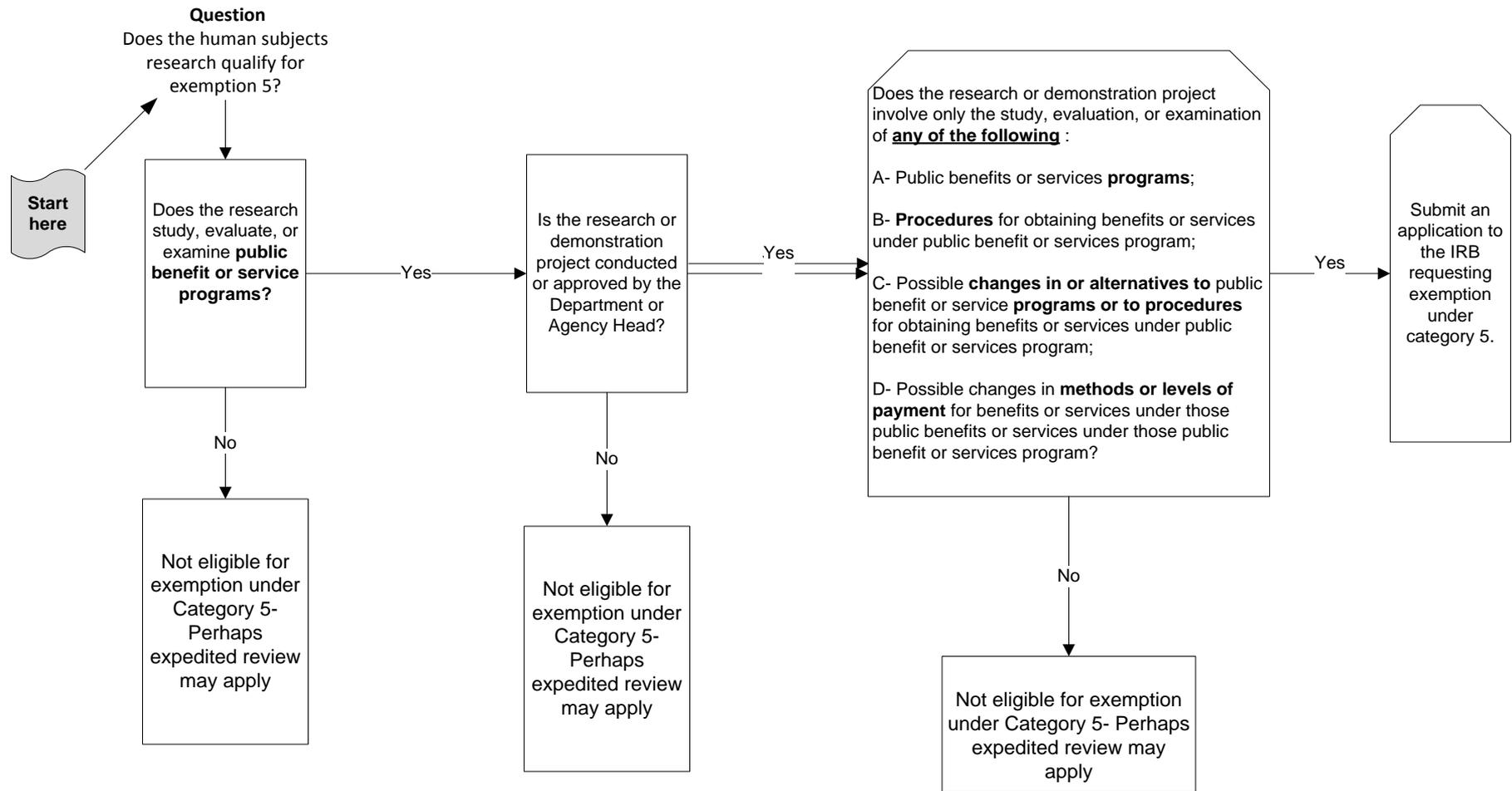


Exemption Category 4: research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, directly or through identifiers linked to the subjects. (Retrospective)

Determination of Exemption Category 5 – Public Benefit or Service Programs

(Prior to using this chart you should already have determined that your project does constitute human subject research)

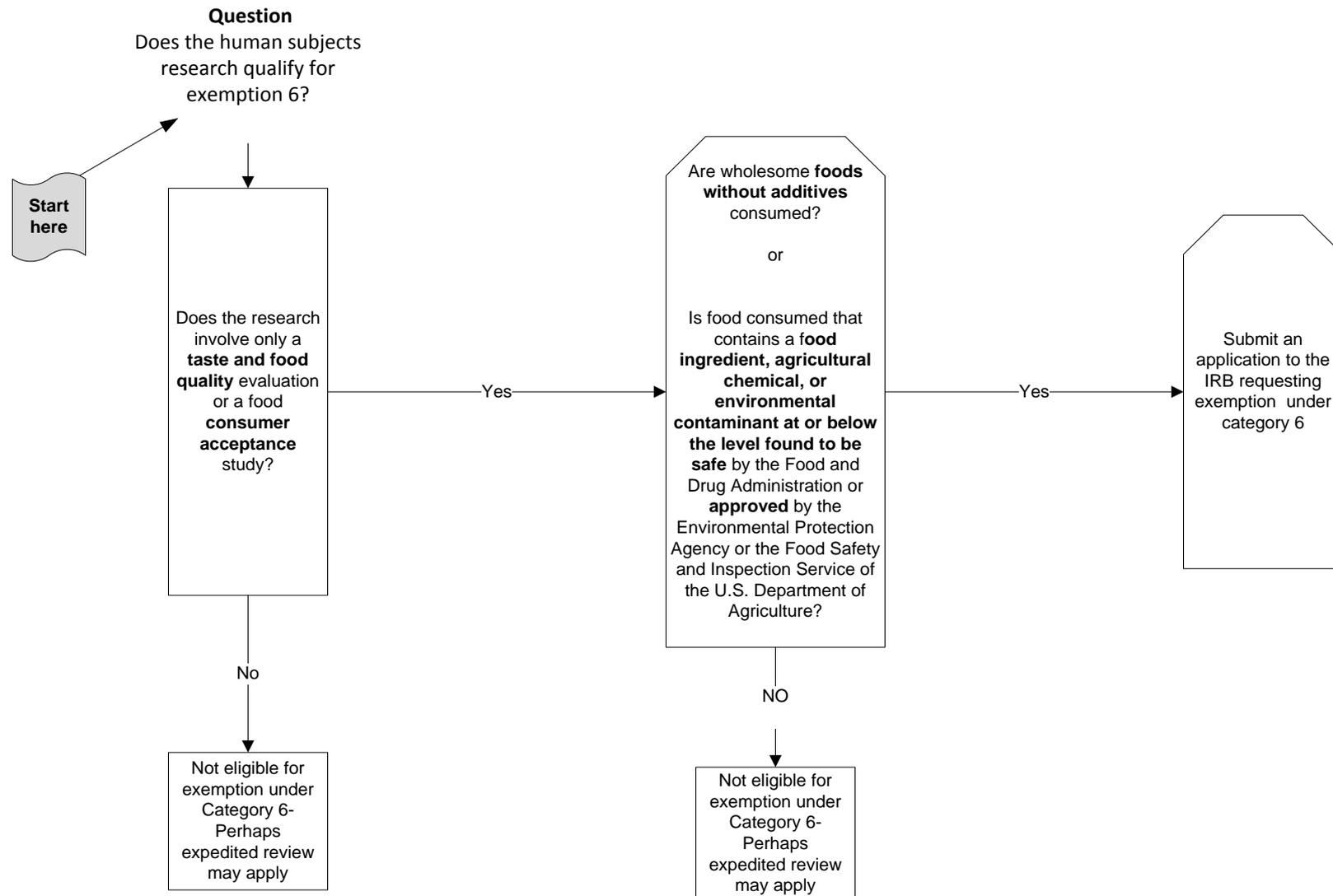
This exemption is for projects conducted by or subject to approval of Federal agencies, and is most appropriately invoked with authorization or concurrence by the funding agency.



Exemption Category 5: Research and demonstration projects that deliver a public benefit (e.g. financial or medical benefit under Social Security) or service (e.g. supportive, social or nutritional services under the Older Americans Act) which are conducted by or subject to the approval of department or agency heads (i.e. federal authority), and which are designed to study, evaluate, or otherwise examine: (i) public benefit or service programs; (ii) procedures for obtaining benefits or services under those programs; (iii) possible changes in or alternatives to those programs or procedures; or (iv) possible changes in methods or levels of payment for benefits or services under those programs. For projects to qualify for this category, the project must be conducted pursuant to specific federal statutory authority, there may be no statutory requirement that requires IRB review, and the project may not involve significant physical invasion or intrusions upon the privacy of participants.

Determination of Exemption Category 6 – Food Taste and Acceptance Studies

(Prior to using this chart you should already have determined that your project does constitute human subject research)



Exemption Category 6: Taste and food quality evaluation and consumer acceptance studies (i) if wholesome foods without additives are consumed or (ii) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. department of Agriculture.